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U.S. PTO

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

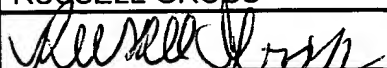
Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

Application Number	
Filing Date	CONCURRENTLY
First Named Inventor	QIONG LI ET AL
Group Art Unit	
Examiner Name	
Attorney Docket Number	US 020053

These documents may be relevant in that they have been:

- ☐ considered in drafting the specification of the above-referenced application;
- ☒ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) _____ which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- ☐ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;
- ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;
- ☐ otherwise a concise explanation of the relevance of each document is append hereto.
- ☐ I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office nor was any known to any individual designated in §1.56(c) more than three (3) months ago.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	RUSSELL GROSS	Registration No. (Attorney/Agent)	40,007
Signature		Date	2/2/02

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE		Atty. Docket No. US 020053		Serial No.	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		Applicant QIONG LI ET AL			
		Filing Date CONCURRENTLY		Group	

U.S. PATENT DOCUMENTS												
Ex. Int	AA	AB	AC	AD	AE	AF	Document Number	Date	Name	Class	Sub-class	Filing Date If Approp.
	AA						5 6 2 1 6 6 0	04/1995	CHADDHA ET AL	364	514	
	AB						5 7 4 2 8 9 2	04/1995	CHADDHA	455	5.1	
	AC						5 7 6 8 5 3 5	04/1997	CHADDHA ET AT	395	200.77	
	AD						5 9 2 8 3 3 1	10/1997	BUSHMITCH	709	231	
	AE						5 9 4 1 9 5 1	10/1997	DAY ET AL	709	233	
	AF						6 0 9 1 7 7 7	05/1998	GUETZ ET AL	375	24	

FOREIGN PATENT DOCUMENTS												
AG	AH	AI	AJ	AK	Document Number	Date	Country	Class	Sub-class	Trans.		
										Yes	No	
											X	
											X	

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)	
AL	STEVEN McCANNE ET AL, "RECEIVER-DRIVEN LAYERED MULTICAST" (SIGCOMM-AUGUST 1996, STANFORD, CA).
AM	STEVEN McCANNE ET AL, "LOW-COMPLEXITY VIDEO CODING FOR RECEIVER-DRIVEN LAYERED MULTICST", JANUARY 11, 1997.
AN	

Examiner	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE		Atty. Docket No. US 020053		Serial No.	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		Applicant QIONG LI			
		Filing Date CONCURRENTLY		Group	

U.S. PATENT DOCUMENTS													
Ex. Int	AA	6	0	9	4	6	3	6	Date	Name	Class	Sub-class	Filing Date If Approp.
	AA	6	0	9	4	6	3	6	11/1997	KIM	704	500	
	AB	6	1	7	3	0	1	3	11/1997	SUZUKI ET AL	375	240.16	
	AC	6	2	1	5	7	6	6	01/1998	AMMAR ET AL	370	229	
	AD	6	2	3	3	0	1	7	06/1997	CHADDHA	348	412	
	AE	6	2	5	6	6	2	3	06/1998	JONES	707	3	
	AF	6	2	6	3	0	2	2	07/1999	CHEN ET AL	375	240.03	

FOREIGN PATENT DOCUMENTS															
Ex. Int	AG	AH	AI	AJ	AK	AL	AM	AN	Date	Country	Class	Sub-class	Trans.		
													Yes	No	
	AG														X
	AH														X
	AI														
	AJ														
	AK														

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)												
AL												
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U.S. PATENT DOCUMENTS													
Ex. Int	AA	6	2	6	6	8	1	7	Date	Name	Class	Sub-class	Filing Date If Approp.
	AA	6	2	6	6	8	1	7	04/1998	CHADDHA	725	146	
	AB	6	2	7	5	5	3	1	10/1998	LI	375	240.12	
	AC	6	2	7	5	8	4	7	01/1999	ROBINSON	709	201	
	AD	0	0	1	6	0	0	8	10/1998	BAHL ET AL	375	240.18	
	AE	0	0	2	3	4	2	9	02/1999	BARKER ET AL	709	203	
	AF												

FOREIGN PATENT DOCUMENTS															
Ex. Int	AG	AH	AI	AJ	AK	AL	AM	AN	Date	Country	Class	Sub-class	Trans.		
													Yes	No	
	AG														X
	AH														X
	AI														
	AJ														
	AK														

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)												
AL	AM	AN										

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